

Sheet 1 of 2 **FORM PTO-1449** ATTY. DOCKET NO. SERIAL NO. SHP-PT059 09/555,555 U.S. DEPARTMENT OF COMMERCE **APPLICANT** PATENT AND TRADEMARK OFFICE Braud et al. INFORMATION DISCLOSURE FILING DATE **GROUP** STATEMENT BY APPLICANT September 25, 2000 1644 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Aramburu et al., "A Novel Functional Cell Surface Dimer (Kp43) Expressed By Natural Killer Cells And T Cell PV AA Receptor -γ/δ⁺ Lymphocytes," The Journal of Immunology, Vol. 144, No. 8, pps. 3238-3247 (1990) Houchins et al., "DNA Sequence Analysis of NKG2, a Family of Related cDNA Clones Encloding Type II Integral Membrane Proteins on Human Natural Killer Cells," J. Exp. Med., Vol 173, pps. 1017-1020 AB (April 1991) Ulbrecht et al., "The HLA-E Gene Encodes Two Differently Regulated Transcripts And A Cell Surface Protein," AC The Journal Of Immunology," Vol. 149, No. 9, pps. 2945-2953 (1992) Ulbrecht et. al., "Impaired Intracellular Transport and Cell Surface Expression of Nonpolymorphic HLA-E: AD Evidence for Inefficient Peptide Building," J Exp Med, Vol. 176, pps. 1083-1090 (1992) Shawar et al., "Antigen Presentation By Major Histocompatibility Complex Class I-B Molecules," Annual ΑE Review of Immuology, Vol. 12, pps. 839-880 (1994) Pérez-Villar, et al, "Functional Ambivalence of the Kp43 (CD 94) NK Cell-Associated Surface Antigen," The AF Journal of Immunology, Vol. 154, pps. 5779-5788 (1995) Phillips et al., "CD94 and a Novel Associated Protein (94AP) Form a NK Cell Receptor Involved in the AG Recognition of HLA-A, HLA-B, and HLA-C Allotypes," Immunity, Vol. 5, pps. 163-172 (1996) Lazetic et al.. "Human Natural Killer Cell Receptors Involved in MHC Class I Recognition Are Disulfide-Linked AΗ Heterodimers of CD94 and NKG2 Subunits," The Journal of Immunology, Vol. 157, pps.4741-4745 (1996) Sivori et al., "CD94 functions as a natural killer cell inhibitory receptor for different HLA class I alleles: identification of the inhibitory form of CD94 by the use of novel monoclonal antibodies," Eur. J. Immunol., Vol. Αl 26, pps. 2487-2492 (1996) Sivori et al., "Inhibitory CD94 Molecules Identified by the Z199 Monoclonal Antibody Recognize Different HLA-AJ Class I Molecules," Transplantation Proceedings, Vol. 28, No. 6, pps. 3199-3203 (1996)

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